

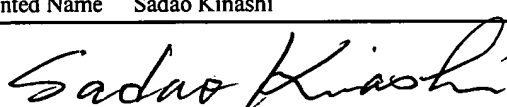
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| U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE | | DATE: July 9, 2004 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (if known) 107801080 |
| INTERNATIONAL APPLICATION NO.: PCT/JP03/00139 | INTERNATIONAL FILING DATE: January 9, 2003 | PRIORITY DATE CLAIMED: January 10, 2002 |
| TITLE OF INVENTION: METHOD FOR GETTERING TRANSITION METAL IMPURITIES IN SILICON CRYSTAL | | |
| APPLICANT(S) FOR DO/EO/US: Hiroshi YOSHIDA | | |
| Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (17) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED: | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO-1449 and 10 references. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: JAPAN SCIENCE AND TECHNOLOGY AGENCY, Kawaguchi-shi, Japan Please publish the assignee data with the application. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: 5 sheets of drawings. | | |

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| U.S. APPLICATION NO. (if known) 10/501080 INTERNATIONAL APPLICATION NO. PCT/JP03/00139 | DATE: July 9, 2004 | |
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| 17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO: \$920.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$730.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1080.00 International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | <u>CALCULATIONS</u> | <u>PTO USE ONLY</u> |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | \$ 920.00 | |

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| Surcharge of \$130.00 for furnishing the oath or declaration later than <u> x </u> 30 months from the earliest claimed priority date (37 DVR 1.492(e)). | \$ 130.00 | |
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| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
|--|--------------|--------------|------------|-------------------|----|
| TOTAL | 3 -20 = | | X \$ 18.00 | | |
| INDEPENDENT | 1 - 3 = | | X \$ 86.00 | | |
| Multiple dependent claims(s) (if applicable) | | | + \$290.00 | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,050.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28). | | | | | |
| SUBTOTAL = | | | | \$1,050.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + | | | | | |
| TOTAL NATIONAL FEE = | | | | \$1,050.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$1,050.00 | |
| | | | | Amount to be: | |
| | | | | refunded | \$ |
| | | | | charged | \$ |

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| 10/501080 U.S. APPLICATION NO. (if known) | | INTERNATIONAL APPLICATION NO. PCT/JP03/00139 | DATE: July 9, 2004 |
| <p>a. <u>XX</u> A check in the amount of \$1,050.00 to cover the above fees is enclosed. (\$920.00 for basic filing fee and \$130.00 for late filing of the declaration). (This paper is filed in triplicate)</p> <p>b. <u> </u> Please charge my Deposit Account No. 50-2866 in the amount of \$<u> </u> to cover the above fees. (A duplicate copy of this sheet is enclosed.)</p> <p>c. <u>XX</u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2866.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.</p> | | | |
| Send All Correspondence To: | | WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP Suite 700 1250 Connecticut Avenue, N.W. Washington, D.C. 20036 CUSTOMER No. 38834 | |
| Typed or Printed Name Sadao Kinashi | | Reg. No. 48,075 | |
| Signature  | | Date: July 9, 2004 | |

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